

SAM D. ROMANO JR.

Birmingham, AL 35243
www.linkedin.com/in/sromano4839

205.612.5029
sromano4839@gmail.com

VERSATILE CORPORATE SECURITY AND BSA / AML EXPERT

Independently motivated with vast investigative expertise.

Provide quality assurance for every facet of investigations and manage quality assurance groups. Exceptional aptitude for training managers, department heads, and corporate clients in all aspects of corporate security, including fraud awareness and prevention. Relentless drive to complete every task the right way, the first time, and on time but with no shortcuts.

- **Public Speaking**
- **Fraud Investigation/Prevention**
- **BSA / AML Program Management**
- **Corporate Security**
- **Internal / External Training**
- **Investigations Management**
- **Internal Theft and Security**
- **Quality Assurance**
- **CFE and CAMS**

WORK EXPERIENCE

MUNICIPAL FRAUD PREVENTION SERVICES, Birmingham, AL

President

- Complete Fraud Prevention Services, focusing on the needs of Municipalities – Your Firewall Against Fraud.
- Employee Anti-Fraud Education: Educating employees so they may be deputized to help defend against fraud. Create executive summary, maintaining, researching, and adjusting yearly numbers to indicate changes. Distribute and present executive summary of department statistics throughout bank.
- Analysis and documentation of current policies, procedures and practices.
- Reporting of facts gathered from analysis and recommendations made to strengthen processes.
- Implementation: Remain available to assist with implementation of recommendations and writing of policies and procedures.

REGIONS BANK, Birmingham, AL

2009 – 2016

Vice President, Major Case Coordinator, Corporate Security

- Conducted Quality Assurance review of every field investigation completed by Regions Corporate Security.
- Managed and tracked major cases that impact Corporate Security throughout bank's footprint by reading 100% of investigations completed by Regions Corporate Security, staying on top of fraud trends, schemes, and how schemes evolve over time.
- Responded to customer complaints through regulatory agencies and CEO's office.
- Provided training to branch management and administrative staff as designee of Director of Corporate Security.
- Served as department liaison with US Department of Homeland Security (DHS), AL Fusion Center, and FBI Joint Terrorism Task Force (JTTF), remaining well-connected with federal agencies and up-to-date on security matters involving each.
- Created high-demand Fraud Prevention seminar for business and corporate customers, traveling throughout bank's 16-state footprint presenting seminar at the invitation of area banking executives.

AMSOUTH / REGIONS BANK, Birmingham, AL

2005 – 2009

Vice President, Manager of SAR Filing Operations, Bank Secrecy Act/Anti-Money, Laundering (BSA/AML)

Designated to take over entire existing BSA/AML Department at Regions following AmSouth / Regions merger. Managed entire Regions BSA program, while still managing SAR Filing Operations at AmSouth. Initiated AmSouth BSA/AML program at Regions.

- Served as Manager of SAR Filing Operations, handling team responsible for Quality Assurance function for all BSA/AML investigations conducted by Regions Bank. Oversaw every SAR filed by Regions Bank.
 - Protected bank through strong guidance during numerous audits and examinations.
 - Created working relationships and lines of communication throughout the bank, successfully completing unpopular account closures required by policy.
 - Confirmed OFAC operations.
- Charged with managing Lookback project ordered by the Federal Reserve and FinCEN. Cleared AmSouth from Cease and Desist Order and Deferred Prosecution in record time with impeccable results, supervising team of 17 investigators and hired and managed 22 contractors who reviewed transactions and conducted BSA/AML investigations for suspicious activity and SAR reporting.
 - Reviewed over 3B transactions for suspicious activity.
- Started as Manager of Investigations, managing teams who conducted BSA/AML investigations.

NOVA INFORMATION SYSTEMS, Knoxville, TN

2004 – 2005

Senior Manager, Special Investigations Unit, Loss Prevention Department

Managed team of 4 Investigators and an Assistant Manager.

- Decisioned, drafted, and filed all Suspicious Activity Reports (SARs), investigating all large fraud losses incurred by Nova and its merchant customers. Made Nova/Elavon, a hardened target against fraud by aggressively pursuing fraudsters.
- Investigated losses nationwide, gathering evidence, proof of intent, and supporting documentation, selling investigation to federal, state, and local law enforcement agencies throughout the United States.
- Worked with law enforcement officers, providing assistance throughout investigation and prosecution of a case, including testimony. Expanded, maintained, and used network of investigators, achieving Nova investigative presence throughout country, resulting in company efficiencies and cost effectiveness.

ADDITIONAL EXPERIENCE

U.S. POSTAL INSPECTION SERVICE

1992 – 2004

Dismantled complex drug trafficking organizations and financial crime organizations. Independently assigned responsibility to investigate robberies, burglaries, mail bombs, and internal crimes.

Postal Inspector, Robberies and Narcotics Distribution, Chicago, IL

- Relocated, conducted, and assisted several high-profile cases.

Postal Inspector, Internal Crimes, Birmingham, AL

- Investigated internal theft and embezzlement cases, successfully clearing each.

Postal Inspector, Internal Crimes, External Crimes, Narcotics Investigations, Mail Bombs, Robberies, Burglaries, Workplace Safety, Knoxville, TN

- Dismantled several significant criminal organizations that conducted high-volume fraud operations and nationwide drug trafficking.
- Built working relationships with representatives of nearly every federal, state, and local law enforcement agency in eastern TN.
- Certified as Firearms Instructor and MP-5 Operator.
- Investigated and gained a sentence of life plus 52 years for mail bomber when serving as primary member of Knoxville-based task force.
- Received awards for quality and quantity of work completed.
- Completed Postal Inspector Academy, Potomac, MD.

U.S. OFFICE OF PERSONNEL MANAGEMENT, Knoxville, TN

1988 – 1992

Federal Investigator, Office of Federal Investigations

Conducted security and suitability investigations for numerous other government agencies throughout the country, primarily in nation's nuclear weapons facilities.

- Completed thousands of background investigations at sensitive locations throughout the US, being awarded for quality reports.
- Completed Federal Investigator Training, Washington, DC.

EDUCATION AND PROFESSIONAL DEVELOPMENT

- **Bachelor of Science (BS)**, Criminal Justice, Minor: Political Science, University of Alabama at Birmingham, Birmingham, AL

ACTIVITIES

- Sigma Chi Fraternity, Auburn University
- Volunteer, Youth Sports

PROFESSIONAL CERTIFICATION

- Certified Fraud Examiner (CFE), Association of Certified Fraud Examiners (ACFE)
- Certified Anti-Money Laundering Specialist (CAMS), Association of Certified Anti-Money Laundering Specialists (ACAMS)
- Licensed Private Investigator (State of Alabama)
- Firearms Instructor and MP-5 Select Fire Operator - USPIS